

3624 AP/Jan

PTO/SB/21 (09-04)

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/734,746
	Filing Date	12/12/2000
	First Named Inventor	Richard Barrington
	Art Unit	3624
	Examiner Name	Wasylichak, Steven R.
	Attorney Docket Number	066680.0111 (A33025)
Total Number of Pages in This Submission		

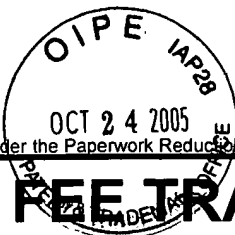
ENCLOSURES (Check all that apply)		
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<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Baker Botts LLP	Customer No.	21003
Signature			
Printed name	Manu J. Tejwani		
Date	10/21/2005	Reg. No.	37,952

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Typed or printed name	Manu J. Tejwani
Date	10/21/2005

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PTO/SB/17 (10-04)  
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# FREE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 0

## Complete if Known

Application Number	09/734,746
Filing Date	12/12/2000
First Named Inventor	Richard Barrington
Examiner Name	Wasylichak, Steven R.
Art Unit	3624
Attorney Docket No.	066680.0111 (A33025)

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

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Deposit Account Name  
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## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1001	2001	Utility filing fee	
1002	2002	Design filing fee	
1003	2003	Plant filing fee	
1004	2004	Reissue filing fee	
1005	2005	Provisional filing fee	
SUBTOTAL (1)			(\$ ) 0

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20 =	X	=0
Multiple Dependent	-3 =	X	=0
			=0

<u>Large Entity</u>	<u>Small Entity</u>	
<u>Fee Code</u>	<u>Fee Code</u>	<u>Fee Description</u>
1202	2202	Claims in excess of 20
1201	2201	Independent claims in excess of 3
1203	2203	Multiple dependent claim, if not paid
1204	2204	** Reissue independent claims over original patent
1205	2205	** Reissue claims in excess of 20 and over original patent

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee Code	Fee Description	Fee Paid
1051	2051	Surcharge - late filing fee or oath	
1052	2052	Surcharge - late provisional filing fee or cover sheet	
1053	1053	Non-English specification	
1812	1812	For filing a request for ex parte reexamination	
1804	1804	Requesting publication of SIR prior to Examiner action	
1805	1805	Requesting publication of SIR after Examiner action	
1251	2251	Extension for reply within first month	
1252	2252	Extension for reply within second month	
1253	2253	Extension for reply within third month	
1254	2254	Extension for reply within fourth month	
1255	2255	Extension for reply within fifth month	
1401	2401	Notice of Appeal	
1402	2402	Filing a brief in support of an appeal	
1403	2403	Request for oral hearing	
1451	1451	Petition to institute a public use proceeding	
1452	2452	Petition to revive - unavoidable	
1453	2453	Petition to revive - unintentional	
1501	2501	Utility issue fee (or reissue)	
1502	2502	Design issue fee	
1503	2503	Plant issue fee	
1460	1460	Petitions to the Commissioner	
1807	1807	Processing fee under 37 CFR 1.17(q)	
1806	1806	Submission of Information Disclosure Stmt	
8021	8021	Recording each patent assignment per property (times number of properties)	
1809	2809	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	2810	For each additional invention to be examined (37 CFR 1.129(b))	
1801	2801	Request for Continued Examination (RCE)	
1802	1802	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 0

## SUBMITTED BY

Name (Print/Type)	Manu J. Tejwani	Registration No. (Attorney/Agent)	37,952	Telephone	212-408-2500
Signature		Date	10/21/2005		

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A33025 - 066680.0111  
PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Richard Barrington *et al.*  
Serial No. : 09/734,746 Examiner : Wasylchak, Steven R.  
Filed : December 12, 2000 Group Art Unit : 3624  
For : PROCESS, SYSTEM AND FINANCIAL PLANNING ENGINE FOR  
DETERMINING AT LEAST ONE FINANCIAL INDICATOR FOR  
USE IN ACHIEVING A PARTICULAR FINANCIAL GOAL

**RESPONSE TO OFFICE ACTION**

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Manu J. Tejwani  
Attorney Name

\_\_\_\_\_  
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Date of Signature

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Sir:

In response to the Office Action dated July 27, 2005, applicants amend the claims  
as listed in the **Claims** section beginning on page 2 of this paper. **Remarks** are presented  
beginning on page 12 of this paper.